

## HilltopSecurities History

In January of 2015, Hilltop Holdings Inc. (NYSE: HTH), a Texas-based financial services holding company, acquired Southwest Securities, Inc., a regional full-service broker-dealer. Hilltop Holdings is the parent company of PlainsCapital Corp. (which includes PlainsCapital Bank, the 6th largest Texas-based bank by deposits and PrimeLending, a mortgage originator ranked sixth in the U.S. for purchase units in 2014) and National Lloyds Corporation, a niche property and casualty underwriter providing homeowners insurance for low value dwellings.

In addition, Hilltop Holdings was the parent of FirstSouthwest Company, a broker-dealer renowned for its nationwide public finance advisory business. With complementary business lines and a shared history, Southwest Securities and FirstSouthwest were combined under the **HilltopSecurities** name to create a new, stronger firm backed by a well-capitalized, publicly traded parent company focused on building a lasting legacy.

### 1946

FirstSouthwest begins operations

### 1972

Southwest Securities founded as MidSouthwest Securities

### 1979

MidSouthwest Securities renamed Southwest Securities

### 1991

Hill Feinberg named FirstSouthwest Chairman and CEO

### 2008

FirstSouthwest becomes part of PlainsCapital Corp.

### 2012

PlainsCapital merges into Hilltop Holdings Inc.

### 2015

Hilltop Holdings Acquires Southwest Securities in merger

Bob Peterson named CEO and President of Southwest Securities

HilltopSecurities Holdings formed as subsidiary of Hilltop Holdings

Southwest Securities adopts HilltopSecurities name

### 2016

FirstSouthwest merges into HilltopSecurities



To find out more, visit [hilltopsecurities.com](http://hilltopsecurities.com)