

Fact Sheet

- HilltopSecurities delivers a broad range of financial guidance, services and solutions for both public and private institutions, issuers and investors.
- It is the largest full-service brokerage firm headquartered in the Southwestern United States based on the number of financial advisors.
- The firm's focus areas include:
 - Public Finance - Capital Markets - Retail Brokerage
 - Clearing Services - Structured Finance - Securities Lending
- Institutional services include advice, counseling and expertise on taxable fixed income, securities lending, equity and portfolio trading, municipal securities and public finance.
- Personal investing services offered to consumers include financial planning, asset allocation, equity and fixed income securities, mutual funds, money market funds, cash management accounts, CDs, unit investment trusts, annuities, IRAs and corporate pension plans.
- HilltopSecurities is a subsidiary of Hilltop Holdings, which is publicly traded on the New York Stock Exchange under the symbol HTH.
- In January 2015, Hilltop Holdings acquired Dallas-based SWS Group, parent company of Southwest Securities, Inc. (renamed HilltopSecurities in October 2015). Hilltop Holdings was also the parent company of First Southwest Company, a Dallas-based broker-dealer renowned for its nationwide public finance business. In January 2016, Hilltop Holdings combined the two firms under the HilltopSecurities name.

HilltopSecurities

Approximately 1,000 employees

53 offices in 17 states

Company headquarters
1201 Elm Street, Ste. 3500
Dallas, Texas

Hill A. Feinberg
Chairman and CEO

Robert W. Peterson
President and COO

To find out more, visit HilltopSecurities.com

