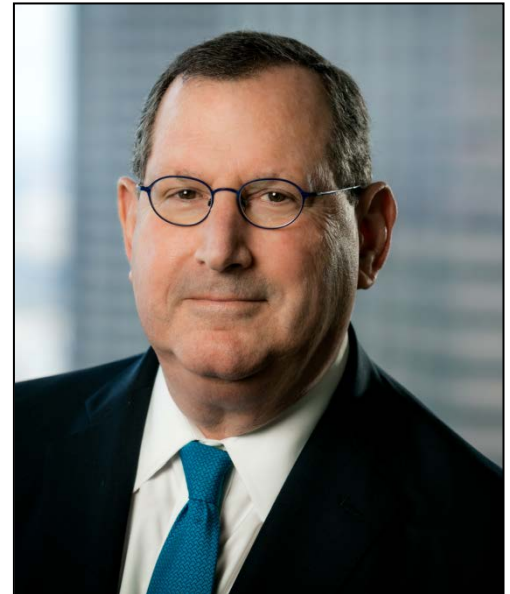


Hill A. Feinberg **Chairman and Chief Executive Officer**

Hill Feinberg was named Chairman and Chief Executive Officer of HilltopSecurities in January 2016, upon completion of the merger of HilltopSecurities and First Southwest Company. He had served as Chairman and CEO of FirstSouthwest since 1991, and as a director of Hilltop Holdings since the acquisition of PlainsCapital in November 2012. He previously served as a director of PlainsCapital from December 31, 2008 (in conjunction with PlainsCapital's acquisition of FirstSouthwest) to November 2012.



Prior to joining FirstSouthwest, Mr. Feinberg was a senior managing director at Bear Stearns & Co. and a former Vice President and Manager of Salomon Brothers in the Dallas office. He is a past chairman of the Municipal Securities Rulemaking Board, the self-regulatory organization with responsibility for authoring the rules that govern the municipal securities activities of registered broker dealers. Feinberg is a member of the board of directors of Energy XXI (Bermuda) Limited, a public company (lead director). He also formerly served as a member of the board of directors of Compass Bancshares, Inc. and Texas Regional Bancshares, Inc.

His civic and charitable service includes chairing the board of directors of the Phoenix Houses of Texas and serving as board member of the Phoenix House Foundation. He is a member of the Baylor Health Care System Foundation Board of Directors, a member of the Board of Trustees of St. Mark's School of Texas and the University of Georgia Terry School of Business Dean's Advisory Council. He is the past chairman of the corporate committee for the Senior Source. Feinberg received his bachelor's degree in finance from the University of Georgia's Terry College of Business in 1969, receiving the Distinguished Alumnus Award from the University of Georgia's Terry College of Business in May 2008. After graduation, he joined the U.S. Army Corps of Engineers, serving as first lieutenant in Vietnam from 1970 to 1971.

Robert W. Peterson **President and Chief Operating Officer**

Robert Peterson was named President and Chief Operating Officer of HilltopSecurities, following the firm's merger with First Southwest Company in January 2016. He had previously served as President and Chief Executive Officer of HilltopSecurities (formerly Southwest Securities) since April 2015 following the firm's acquisition by Hilltop Holdings Inc.

With more than two decades of experience in the financial services industry, Peterson has a proven track record across a broad range of leadership roles combining operational, strategic, corporate governance, investing, risk management and capital markets knowledge. Prior to joining HilltopSecurities, he served as Principal of Park Square Partners, working closely with Hilltop Holdings preparing the integration of HilltopSecurities and FirstSouthwest.

Prior to starting Park Square Partners, Peterson was recruited as Head of Corporate & Operational Strategy for J.C. Penney following a nearly 20-year career with Piper Jaffray Companies. He held numerous senior-level positions with Piper Jaffray, most recently as the company's Global Head of Equities responsible for all aspects of the global equities business, including institutional sales, trading, research, equity capital markets, convertibles, electronic trading, and equity proprietary trading, among others. Previously, Peterson served as Piper Jaffray's Head of Private Client Services, overseeing an extensive private client division. He joined Piper Jaffray as an equity research analyst before being named Head of Equity Research and later Head of Investment Research. Peterson began his career as a consultant with Accenture, formerly Andersen Consulting.

Peterson is active in the Dallas community, serving on the Board of Directors of Children's Medical Center and supporting a variety of area charitable organizations primarily devoted to educational and children's issues. Prior affiliations include the board of directors at Martha Stewart Living Omnimedia, Inc. (MSLO), board of directors at Gillette Children's Specialty Healthcare and advisory board member at the University of Minnesota Carlson Funds Enterprise. He earned a Bachelor of Arts in Business Administration with an emphasis in Financial Management from the University of St. Thomas in St. Paul, MN, where he graduated Magna Cum Laude.

