



2025 HilltopSecurities GFOA Conference Drawing (the “Promotion”) Official Rules

1. **Eligibility:** The Promotion is open only to attendees of the 119th GFOA Annual Conference in Washington, D.C. Employees, contractors, directors and officers of Hilltop Securities Inc. (“Sponsor”) and its respective parents, subsidiaries and affiliated companies, and agencies involved in the development and administration of this Promotion, and each of their respective officers, directors, employees and agents (collectively, “Promotion Parties”), and their immediate family members (parent, child, sibling and spouse of each, regardless of where they reside) and those living in the same households of each (whether related or not) are not eligible. Void where prohibited by law.
2. **Promotion Period:** The Promotion begins at 8:00 a.m. Eastern Time (“ET”) on June 29, 2025, and ends at 5:00 p.m. ET July 2, 2025 (the “Promotion Period”).
3. **How to Enter:** To enter the Promotion, potential entrants must complete the HilltopSecurities Public Finance Leaders survey online at <https://www.surveymonkey.com/r/HTSPES25GFOA> or in person on a paper survey form at the 119th GFOA Annual Conference (survey forms will be available at the HilltopSecurities booth at the conference). MAXIMUM ONE (1) ENTRY PER PERSON / E-MAIL ADDRESS / ACCOUNT ASSOCIATED WITH THIS PROMOTION.
4. **Winner Selection & Odds:** One (1) winner will be chosen by random drawing. The odds of winning depend on the total number of entries received.
5. **Prizes, Approximate Retail Value (“ARV”):** There will be a maximum of one (1) prize awarded as follows:
 - 5.1. **GRAND PRIZE:** The Grand Prize winner will win an Apple AirPods Max. Approximate retail value is \$549 each.

Total ARV of all prizes available in this Promotion is \$549 USD. No substitution, cash redemption or transfer of prizes is permitted except at Sponsor’s sole discretion or as provided herein. If the advertised prize cannot be awarded for any reason, Sponsor reserves the right to substitute a prize of equal or greater value. LIMIT ONE (1) PRIZE PER PERSON / EMAIL ADDRESS / ACCOUNT ASSOCIATED WITH THIS PROMOTION. Unclaimed prizes shall not be awarded.

No more than the stated number of prizes will be awarded. If, for any reason, the number of prize notifications exceeds the stated number of prizes, the remaining prizes will be awarded in a random drawing from among all legitimate prize claimants.

6. **General Rules:** All applicable federal, state and local laws apply. All potential winners will each be contacted by email and/or telephone and may be required, at Sponsor’s discretion, to complete,



sign and return an affidavit of eligibility, a liability release, and where lawful, a publicity release within a stated amount of time. The return of any notification as undeliverable, or failure to respond to notices or return any required documents in a timely manner, or a determination that a potential winner is ineligible or not in compliance with these Official Rules, as determined by the Sponsor, may result in disqualification of the winner and forfeiture of the corresponding prize. By participating in this Promotion, entrants agree to abide by and be bound by these Official Rules and decisions of the Sponsor, which shall be final in all matters relating to the Promotion.

Promotion Parties are not responsible for: (i) electronic or digital transmissions or entries that are lost, late, stolen, incomplete, illegible, damaged, garbled, destroyed, or misdirected; (ii) any problems or technical malfunctions, errors, omissions, interruptions, deletions, defects, delays in operation of transmission, communication failures (including but not limited to failures or malfunctions of phone lines, telephone systems or other communications systems), destruction of or unauthorized access to, or alteration of, entries; (iii) failed or unavailable hardware, network, software or telephone transmissions; (iv) damage to entrants' or any person's computer; (v) causes beyond Promotion Parties' reasonable control that jeopardize the administration, security, fairness, integrity or proper conduct of this Promotion; (vi) incorrect or inaccurate entry information whether caused by entrants or by any of the equipment or programming associated with or utilized in the Promotion; (vii) any typographical or other error in the printing or advertising related to the Promotion, in the administration or execution of the Promotion, or in the announcement/notification of prize winners; or (viii) cheating or fraud by any participant. All incomplete or non-conforming entries will be disqualified.

If for any reason this Promotion cannot be executed as planned, including but not limited to infection by virus, bugs, tampering, unauthorized intervention, fraud, technical failures, or any other causes beyond the control of Sponsor that corrupt or affect the security, administration, fairness, integrity or proper conduct of this Promotion, or if this Promotion is compromised or becomes corrupted in any way, electronically or otherwise, Sponsor reserves the right, in its sole discretion, to suspend or terminate this Promotion. If the Promotion is terminated before the stated end date of the Promotion Period, the Sponsor will select any remaining winners in a random drawing from among all eligible, non-suspect entries received through the time/date of termination.

Sponsor reserves the right, at its sole discretion, to disqualify and prosecute any individual who tampers with or attempts to tamper with the entry process or who enters using any automatic or programmed entry duplication method, or any entry service.

CAUTION: ANY ATTEMPT BY ANY PERSON TO DELIBERATELY CORRUPT OR UNDERMINE THE LEGITIMATE OPERATION OF THE PROMOTION MAY BE A VIOLATION OF CRIMINAL AND CIVIL LAWS. SHOULD SUCH AN ATTEMPT BE MADE, SPONSOR RESERVES THE RIGHT TO DISQUALIFY, PROSECUTE AND SEEK DAMAGES FROM ANY SUCH PERSON TO THE FULLEST EXTENT PERMITTED BY LAW.



In the event of a dispute regarding the identity of the person submitting an entry, the entry will be declared made by the person in whose name the entry was made or, if Entrant's name is not known, then by the account name submitted at time of entry.

7. **Release & Waiver:** By participating in this Promotion, entrants agree to release, discharge and hold harmless Promotion Parties from and against any and all liability, loss, injuries or damages associated with participation in this Promotion or the acceptance, possession, use or misuse of any prize received in this Promotion. By participating in this Promotion, entrants waive all rights to claim punitive, indirect, incidental and consequential damages, attorneys' fees or any damages other than actual out-of-pocket costs incurred to participate.
8. **Disputes/Venue/Governing Law:** By participating, entrants agree that (1) any and all disputes, claims and causes of action arising out of, or connected with, this Promotion or any prize awarded (if applicable) shall be resolved individually, without resort to any form of class action, and exclusively by the appropriate court located in Dallas, Texas; (2) any and all claims, judgments and awards shall be limited to actual out-of-pocket costs incurred, including but not limited to costs associated with entering this Promotion but in no event attorneys' fees; and (3) to the extent allowed by applicable law, under no circumstances will entrant be permitted to obtain awards for, and entrant hereby waives all rights to claim, punitive, incidental and/or consequential damages and/or any other damages, other than out-of-pocket expenses, and any and all rights to have damages multiplied or otherwise increased.

All issues and questions concerning the construction, validity, interpretation and enforceability of these Official Rules or the rights and obligations of any participant or Sponsor in connection with the Promotion shall be governed by and construed in accordance with the internal laws of the State of Texas without giving effect to any choice of law or conflict of law rules or provisions that would cause the application of any other state's laws.

The invalidity or unenforceability of any provision of these Official Rules shall not affect the validity or enforceability of any other provision. In the event that any provision is determined to be invalid or otherwise unenforceable or illegal, these Official Rules shall otherwise remain in effect and shall be construed in accordance with their terms as if the invalid or illegal provision were not contained herein.

In the event there is a discrepancy or inconsistency between disclosures or other statements contained in any Promotion-related materials or notifications and the terms and conditions of these Official Rules, these Official Rules shall prevail, govern and control.

9. **Terms & Privacy:** Participation in this Promotion is subject to the HilltopSecurities Terms of Use located at <https://www.hilltopsecurities.com/disclosures/terms-of-use-website-disclaimer/>. By entering this Promotion, you agree to adhere to the terms, policies and guidelines described in the above Terms of Use. The personal information collected through this Promotion is subject to the HilltopSecurities Privacy Policy located at <https://www.hilltopsecurities.com/disclosures/online->



[privacy-policy/](#). By entering this Promotion, you agree to the use of your personal information as described in the above Privacy Policy. In the event of any conflict between these Official Rules and HilltopSecurities Terms of Use or the HilltopSecurities Privacy Policy, these Official Rules shall take precedence.

10. **Winners' List:** To obtain the winners' list for this promotion, please request one by sending email to htth-marketing@hilltop.com. The winners' list will be available after winner validation has been completed.
11. **Sponsor:** Hilltop Securities Inc., 717 Harwood St., Suite 3400, Dallas, TX 75201
12. **Trademarks:** All trademarks used herein are the property of their respective owners.

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