



## 2025 Important Tax Information

Please visit the IRS Website at [www.irs.gov/forms-instructions](http://www.irs.gov/forms-instructions) to review Instructions and Forms.

| Form   | Description  | Expected Mailing Date  |
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| 1099 Composite excluding REMIC OID   | This form may include IRS Forms 1099-DIV, 1099-INT, 1099-OID, 1099-B, 1099-MISC (excludes REMIC Original Issue Discount information from Real Estate Mortgage Conduits/WHMT and WHFIT mortgage backed securities).   | Original IRS Mailing Deadline: February 17, 2026<br>Hilltop's Granted Extension Deadline (industry standard): March 19, 2026 |
| 1099-R   | This form reports distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, IRA, SEP-IRA, SIMPLE IRA and Roth IRA accounts.  | February 2, 2026   |
| 5498   | This form reports your IRA, SEP-IRA, and SIMPLE IRA year-end FMV and contributions.<br>Corrected 5498 reflecting changes to the year-end FMV or prior year contributions made January 17 – April 15 will mail by May 31, 2024.                               | February 2, 2026   |
| 1099-Q   | This form reports distributions from a Coverdell Education Savings Account.  | February 2, 2026   |
| 1099-SA  | This form reports distributions from a Health Savings Account.   | February 2, 2026   |
| 5498-SA  | This form reports your Health Savings Account contributions.   | June 1, 2026   |
| 5498-ESA   | This form reports your Coverdell Education Savings Account contributions.  | April 30, 2026   |
| 2026 Required Minimum Distribution (RMD) Letter                              | This letter informs customers 73 or older of their 2025 RMD amount.  | February 2, 2026   |
| 1099 Composite Corrections and Originals (if you received a Holdback Letter) | Corrected Forms include updated* corrected reporting of Forms 1099-DIV, 1099-INT, 1099-OID, 1099B, 1099-MISC. Original Forms include REMIC Original Issue Discount information from Real Estate Mortgage Conduits/WHMT and WHFIT mortgage-backed securities. | March 19, 2026   |
| 1042-S   | This form reports certain income and foreign account withholding from U.S. sources to nonresident aliens.  | March 16, 2026   |

\*Mutual funds, ETF's and REITs are pass thru vehicles for tax reporting purposes. The tax character (ex: qualified, non-qualified, return of capital) is not available prior to year's end and we are required to report the tax character provided to us by the funds.

Please contact your accountant, tax or legal advisor with regard to your individual situation. Hilltop Securities Inc., is a registered broker-dealer and registered investment adviser that does not provide tax or legal advice. The firm is a wholly owned subsidiary of Hilltop Holdings, Inc. (NYSE: HTH), located at 717 N. Harwood Street, Suite 3400, Dallas, Texas 75201, (214) 859-1800.

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